

# On cubic surface bundles

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April 19, 2024

Birational Geometry Seminar

Online seminar

## Summary/plan

- 1 General question of interest: determine which smooth projective varieties  $X$  are **rational**: is  $X$  birational to  $\mathbb{P}_k^n$ ? (or stably rational, or retract rational...)

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  - invariants: use Galois cohomology and geometry of cubics;
  - example:  
$$X : xz^2u^3 + y^2zv^3 + xy^2w^3 + ft^3 = 0 \subset \mathbb{P}_{[x:y:z]}^2 \times \mathbb{P}_{[u:v:w:t]}^3$$
  
$$f = x^3 + y^3 + z^3 + 3x^2y + 3xy^2 + 3y^2z + 3yz^2 + 3xz^2 + 3x^2z.$$



# INTRODUCTION

# Properties of rationality

$k$  a field,  $X/k$  projective integral variety

- $X$  is **rational**:  $X$  is birational to  $\mathbb{P}_k^n \Leftrightarrow k(X)/k$  is a purely transcendental extension;
- $X$  is **stably rational**:  $X \times \mathbb{P}_k^m$  is rational, for some  $m$ ;
- $X$  is **unirational**: there is a dominant rational map  $\mathbb{P}_k^n \dashrightarrow X$ ;

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We have implications  $\Downarrow$ .

All notions are equivalent for  $X/\mathbb{C}$  smooth, of dimension 1 ( $X \simeq \mathbb{P}_{\mathbb{C}}^1$ ) or 2 (birational class of  $\mathbb{P}_{\mathbb{C}}^2$ ).

**Next:** typical examples and counterexamples.

# Rationality proofs

*Notation:*

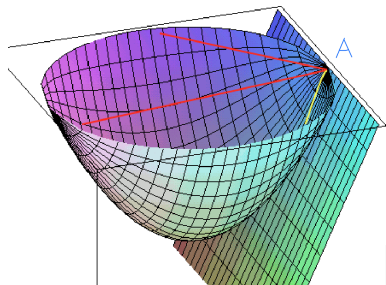
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- smooth quadrics  $X_2$  with  $X_2(k) \neq \emptyset$  are **rational**:



**Rational** parametrization:

*nontangent* lines through  $A \leftrightarrow$  second intersection point with the quadric.

# Irrationality proofs over $\mathbb{C}$ : classical

Classical methods:

- compute some invariant  $i(X)$ ;
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Examples of not rational smooth threefolds:

- 1  $X_3 \subset \mathbb{P}_{\mathbb{C}}^4$  (Clemens-Griffiths, using *intermediate Jacobian*);
- 2  $X_4 \subset \mathbb{P}_{\mathbb{C}}^4$  (Iskovskikh-Manin, using *rigidity*);
- 3  $Z$  a resolution of

$$Y : z_4^2 - f_4(x_0, x_1, x_2, x_3) = 0$$

a double cover of  $\mathbb{P}_{\mathbb{C}}^3$  ramified along some quartic  
(Artin-Mumford,  $H^3(Z, \mathbb{Z})_{tors} = Br Z \neq 0$ ).

These varieties provide examples of unirational not rational complex threefolds.

# Irrationality proofs over $\mathbb{C}$ : specialization

(Beauville, Voisin, Colliot-Thélène–Pirutka, Totaro, Schreieder):

- consider a family of varieties:

$$\begin{array}{ccccc} \mathcal{X} & \longleftarrow & X_0 & \longleftarrow & \textit{reference variety} \\ \downarrow & & \downarrow & & \\ B & \longleftarrow & 0 & & \end{array}$$

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- $i(X_0) \neq 0 + \mathbf{EPSILON} \Rightarrow$  a **very general**  $X = \mathcal{X}_b$  is not (stably) rational;
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- (in some cases, all previously computable  $i(X)$  vanish);
- $\mathcal{X}_b$  **very general**:  $b \notin \bigcup_{i \in \mathbb{N}} B_i(\mathbb{C})$ ,  $B_i \subset B$  closed.
- **EPSILON**:
  - restriction on singularities of  $X_0$ ;
  - "restriction to subvarieties" for  $i$  (Schreieder).

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Other examples:

- cyclic covers,
- complete intersections,
- hypersurfaces in  $\mathbb{P}^m \times \mathbb{P}^n$ , and more.

## Available reference varieties $X_0$

- $X_0$  : a conic of quadric surface bundle over  $\mathbb{P}^2$ ,

$$i = Br(X'_0)[2] = H_{nr}^2(X_0, \mathbb{Z}/2) \subset H^2(\mathbb{C}(X_0), \mathbb{Z}/2)$$

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- $X_0$  : a fibration over  $\mathbb{P}^n$  in Fermat-Pfister forms,  $i = H_{nr}^m(X_0)$ .

# Galois cohomology

# $H^i(K, \mathbb{Z}/2)$ and residues

Assume:  $K \supset \mu_n$ .

- 1 •  $H^0(K, \mathbb{Z}/n) \simeq \mathbb{Z}/n$ ;
- $H^1(K, \mathbb{Z}/n) \simeq K^*/K^{*n}$  (Kummer),  
for  $a \in K^*$ , we will still denote by  $a$  its class in  $H^1(K, \mathbb{Z}/n)$ .
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  - $v : K \rightarrow \mathbb{Z} \cup \infty$  a discrete valuation of rank 1:  
Recall:  $v(x) = \infty \Leftrightarrow x = 0$   
 $v(xy) = v(x) + v(y)$   
 $v(x + y) \geq \min(v(x), v(y))$
  - $A$  be the valuation ring:  $A = \{x, v(x) \geq 0\}$ ,
  - $\kappa(v)$  the residue field:  $\kappa(v) = A/m$ ,  
 $m = \{x, v(x) > 0\} = (\pi_A)$ ,  $\pi_A$  is a uniformizer

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  - this gives  $\partial_v^i : H^i(K, \mathbb{Z}/n) \rightarrow H^{i-1}(\kappa(v), \mathbb{Z}/n)$ .
  - $\partial_v^i$  **factors through the completion**  $H^i(K_v, \mathbb{Z}/n)$

# Formulas for residus

$$a, b \in H^1(K, \mathbb{Z}/n) \simeq K^*/K^{*n}$$

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$$\textcircled{3} \quad \text{In particular, } \partial_v^2(a, b) = 0 \text{ if } v(a) = v(b) = 0.$$

## Example

- $S = \mathbb{P}_{\mathbb{C}}^2$ ,  $K = \mathbb{C}(x, y)$ ,  $\alpha = (x, y) \in H^2(K, \mathbb{Z}/2)$ ;
- $v_D : K^* \rightarrow \mathbb{Z}$  is the order of vanishing at  $D = \{x = 0\}$ ;
- recall:  $\partial_v^2(a, b) = (-1)^{v(a)v(b)} \frac{a^{v(b)}}{b^{v(a)}}$ ;
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## $H_{nr}^i$ : definition

- $X/k$  an integral variety, then

$$H_{nr}^2(X) = H_{nr}^2(k(X)/k) = \bigcap_v \text{Ker} \partial_v^2$$

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- Fact: if  $X$  is smooth and projective,  $H_{nr}^2(X, \mathbb{Z}/n) \simeq \text{Br}(X)[n]$ .



# Strategy for fibrations (Colliot-Thélène - Ojanguren)

- Set up:

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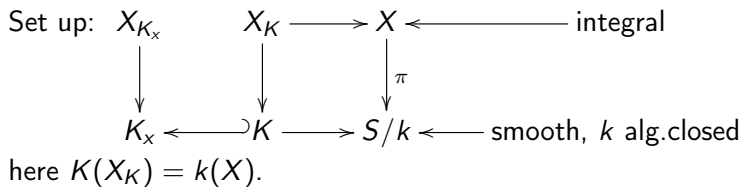
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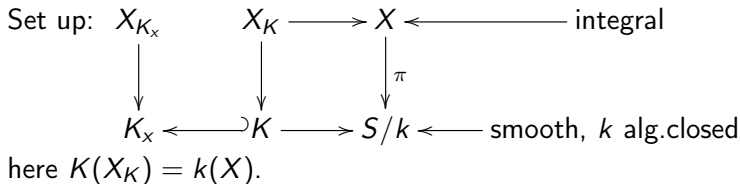
$$\begin{array}{ccccc}
 \bullet & H_{nr}^2(\mathbb{C}(X)/\mathbb{C}) \hookrightarrow & H_{nr}^2(K(X_K)/K) \hookrightarrow & H^2(\mathbb{C}(X)) \\
 & & \uparrow & \\
 & & H^2(K) & 
 \end{array}$$

- $\alpha \in H^2(K)$  is ramified on  $S$  as  $H_{nr}^2(\mathbb{C}(S)/\mathbb{C}) = H^2(\mathbb{C}) = 0$ .
- idea: if  $\partial_{V_D}^2(\alpha) \neq 0$ , then  $\pi$  degenerates along  $D$ .

# Relative unramified cohomology $H_{nr,\pi}^i(k(X)/k) \subset H^i(k(X))$



# Relative unramified cohomology $H_{nr,\pi}^i(k(X)/k) \subset H^i(k(X))$



## Definition

$$H_{nr,\pi}^i(k(X)/k) = \text{Im}[H^i(K) \rightarrow H^i(K(X_K))] \cap \bigcap_P \text{Ker}[H^i(K) \rightarrow H^i(K_P) \rightarrow H^i(K_P(X_{K_P}))],$$

where

- $P$  runs over all scheme points of  $S$  of positive codimension:  
 $P \in S^{(i)}$  for  $i > 0$
- $K_P$  is the field of fractions of the completed local ring  $\widehat{\mathcal{O}}_{S,P}$ .

- $H_{nr,\pi}^i(k(X)/k) \subset H_{nr}^i(k(X)/k)$ .



- $H_{nr,\pi}^i(k(X)/k) \subset H_{nr}^i(k(X)/k)$ .
- if  $\alpha \in H_{nr,\pi}^i(k(X)/k)$  nonzero, then  $X$  is a *reference variety* (Schreieder).

## Cubic surface bundles

$$H_{nr,\pi}^2(k(X)/k) = \text{Im}[H^2(K) \rightarrow H^2(K(X_K))] \cap \bigcap_P \text{Ker}[H^2(K) \rightarrow H^2(K_P) \rightarrow H^2(K_P(X_{K_P}))].$$

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Question: when  $H^2(F) \rightarrow H^2(F(Y))$  is:

- injective ( $F = K$ )
- not injective, and what is the kernel ( $F = K_P$ )?

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Known answers for:

- $Y$  a quadric (Arason, Pfister, Kahn-Rost-Sujatha)
- $Y$  a geometrically rational surface (Colliot-Thélène - Karpenko - Merkurjev).

## Rational surfaces and kernels for $H^2(\cdot, \mathbb{Z}/3)$

(Colliot-Thélène - Karpenko - Merkurjev)

$F$  a field,  $Y/F$  geometrically rational surface. Then

- $\text{Ker}[H^2(F, \mathbb{Z}/3) \rightarrow H^2(F(Y), \mathbb{Z}/3)] \neq 0$  iff
- $Y$  is  $F$ -birational to  $Y'$  a non-split Severi-Brauer (SB) surface.

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Then

$$\text{Ker}[H^2(F, \mathbb{Z}/3) \rightarrow H^2(F(Y), \mathbb{Z}/3)] \simeq \mathbb{Z}/3,$$

generated by the class of  $Y'$ .

## Example: minimal cubic

$$Y : au^3 + bv^3 + abw^3 + ft^3 = 0, \quad a, b, f \in F$$

- Assume: none of the elements  $a, b, ab, f, af, bf$  is a cube in  $F$ .
- (Segre) then the surface is minimal, and

$$H^2(F, \mathbb{Z}/3\mathbb{Z}) \rightarrow H^2(F(Y), \mathbb{Z}/3\mathbb{Z})$$

is injective.



## Example: nonminimal cubic

$$Y : au^3 + bv^3 + abw^3 + t^3 = 0, a, b \in F$$

then  $(a, b) \in \text{Ker}[H^2(F, \mathbb{Z}/3) \rightarrow H^2(F(Y), \mathbb{Z}/3)]:$

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- if  $a$  is a cube in  $F(Y)$ , then  $(a, b) = 0$ .
- Otherwise, let  $L = F(Y)(\sqrt[3]{a})$ . In  $F(Y)$  we have a relation

$$b = -\frac{t^3 + au^3}{v^3 + aw^3},$$

so

$$b = N_{L/F(Y)}(\beta)$$

where

$$\beta = -\frac{t + \sqrt[3]{a}u}{v + \sqrt[3]{a}w}.$$

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- Hence in  $H^2(F(Y), \mathbb{Z}/3\mathbb{Z})$ :

$$(a, b) = (a, N_{L/F(Y)}(\beta)) = N_{L/F(Y)}(a, \beta) = 0.$$

# Example

- $k = \mathbb{C}$   
(or  $k$  an algebraically closed field of  $\text{char}(k) \neq 3$ )
- $X \subset \mathbb{P}_{[x:y:z]}^2 \times \mathbb{P}_{[u:v:w:t]}^3$  is a cubic surface bundle over  $k$ :

$$xz^2u^3 + y^2zv^3 + xy^2w^3 + ft^3 = 0,$$

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- Let  $K = \mathbb{C}(\mathbb{P}^2) = \mathbb{C}(x/z, y/z)$ , let  $\alpha = (x/z, y/z) \in H^2(K, \mathbb{Z}/3)$ . Then

$$\alpha \in H_{nr, \pi}^2(\mathbb{C}(X)/\mathbb{C}, \mathbb{Z}/3).$$

## Sketch of proof: $\alpha$ nonzero in $C(X) = K(X_K)$

the generic fibre  $Y = X_K$  of  $\pi$  is a minimal cubic surface:

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Recall:

$$au^3 + bv^3 + abw^3 + ft^3 = 0, \quad a, b, f \in K$$

if none of the elements  $a, b, ab, f, af, bf$  is a cube then  $H^2(K, \mathbb{Z}/3) \rightarrow H^2(K(Y), \mathbb{Z}/3)$  is injective.



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$$xz^2u^3 + y^2zv^3 + xy^2w^3 + ft^3 = 0, \alpha = (x/z, y/z).$$

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Answer:  $x = 0$  or  $y = 0$  or  $z = 0$ .

Sketch of proof:  $\alpha$  zero in  $K_P(Y)$

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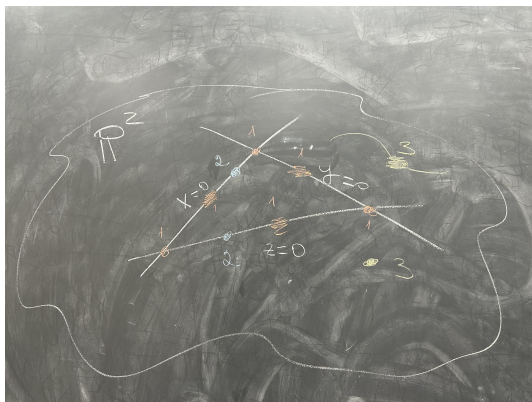
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Let  $P \in \mathbb{P}_k^2$  be a point of positive codimension. We have three cases:

- 1  $P$  is the generic point of one of three lines  $x = 0$ ,  $y = 0$ , or  $z = 0$ , or an intersection point of two of these lines.
- 2  $P$  is a closed point lying on only one of the lines  $x = 0$ ,  $y = 0$ , or  $z = 0$ .
- 3 All other cases.

# Blackboard



## Sketch of proof: $\alpha$ zero in $K_P(Y)$ , case 1

$$xz^2u^3 + y^2zv^3 + xy^2w^3 + ft^3 = 0, \alpha = (x/z, y/z), \text{ where} \\ f = x^3 + y^3 + z^3 + 3x^2y + 3xy^2 + 3y^2z + 3yz^2 + 3xz^2 + 3x^2z.$$

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- $f$  is a nonzero cube in  $\kappa(P)$ , so that  $f$  is a cube in  $K_P$  (Hensel)



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- $Y_{K_P}$  is

$$\frac{x}{z}u^3 + \frac{y^2}{z^2}v^3 + \frac{x}{z}\frac{y^2}{z^2}w^3 + t^3 = 0$$

so that the element  $(x/z, y^2/z^2) = 2\alpha$  is in the kernel of the map

$$H^2(K_P, \mathbb{Z}/3) \rightarrow H^2(K_P(Y), \mathbb{Z}/3\mathbb{Z}).$$

## Sketch of proof: $\alpha$ zero in $K_P(Y)$ , case 2

$$xz^2u^3 + y^2zv^3 + xy^2w^3 + ft^3 = 0, \alpha = (x/z, y/z).$$

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- assume:  $P$  is on the line  $x = 0$ :
- then  $y/z$  is a nonzero element in the residue field  $\kappa(P) = \mathbb{C}$ , hence a cube
- Hence  $y/z$  is a cube in  $K_P$ .

## Sketch of proof: $\alpha$ zero in $K_P(Y)$ , case 3

$$xz^2u^3 + y^2zv^3 + xy^2w^3 + ft^3 = 0, \alpha = (x/z, y/z).$$

$P$  is not on the lines  $x = 0$ ,  $y = 0$ , or  $z = 0$ .

- $x/z$  and  $y/z$  are units in the local ring of  $P$ , so that the image of  $\alpha$  in  $K_P$  comes from the cohomology group  $H_{\text{ét}}^2(\widehat{\mathcal{O}}_{\mathbb{P}^2, P}, \mathbb{Z}/3)$ .

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- $H_{\text{ét}}^2(\widehat{\mathcal{O}}_{\mathbb{P}^2, P}, \mathbb{Z}/3) = H^2(\kappa(P), \mathbb{Z}/3) = 0$  by cohomological dimension.

## Corollary

We obtained:

$$xz^2u^3 + y^2zv^3 + xy^2w^3 + ft^3 = 0 \subset \mathbb{P}_{[x:y:z]}^2 \times \mathbb{P}_{[u:v:w:t]}^3$$

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Then:

### Theorem (Krylov-Okada, Nicaise-Ottem)

*Let  $k$  be an algebraically closed field of char  $(k) \neq 3$ . A very general hypersurface of bidegree  $(3, 3)$  in  $\mathbb{P}_k^2 \times \mathbb{P}_k^3$  is not stably rational.*



## General formula

$\pi : X \rightarrow S = \mathbb{P}_{\mathbb{C}}^2$  cubic surface bundle,  $K = \mathbb{C}(x, y)$ .

$$H_{nr, \pi}^2(\mathbb{C}(X)/\mathbb{C}, \mathbb{Z}/3) = \text{Im}[H^2(K, \mathbb{Z}/3) \rightarrow H^2(K(X_K), \mathbb{Z}/3)] \cap \\ \cap_{P \in S^{(1)} \cup S^{(2)}} \text{Ker}[H^2(K) \rightarrow H^2(K_P) \rightarrow H^2(K_P(X_{K_P}))],$$

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$$0 \rightarrow H^2(K, \mathbb{Z}/3) \xrightarrow{\oplus \partial^2} \bigoplus_{P \in S^{(1)}} H^1(\kappa(P), \mathbb{Z}/3) \rightarrow \bigoplus_{P \in S^{(2)}} H^0(\kappa(p), \mathbb{Z}/3)$$

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Set up:  $\pi : X \rightarrow S = \mathbb{P}_{\mathbb{C}}^2$  cubic surface bundle,  $K = \mathbb{C}(x, y)$ .

Assume:

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- $C = \cup_{i=1}^n C_i \subset S$  a divisor corresponding to the set of codimension 1 points of  $S$  over which the fibre of  $\pi$  is geometrically a union of three planes permuted by Galois.
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Assume  $C$  is snc. Then (briefly):

- $\alpha \in H_{nr, \pi}^2$  is only allowed to have residues  $\gamma_i$  at  $C_i$  + condition on  $K_P$ .
- glue by Bloch-Ogus.

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Then

$$H_{nr,\pi}^2(\mathbb{C}(X)/\mathbb{C}, \mathbb{Z}/3) = \{\underline{a} = \{a_i\}_{i=1}^n, a_i \in \{-1, 0, 1\}\} \subset (\mathbb{Z}/3)^n$$

- (i)  $a_i \neq 0 \Rightarrow X_{K_{C_i}}$  is birational to SB;
- (ii) (Bloch-Ogus)

$$\sum_{i=1}^n \sum_{P \in S(2)} \partial_P(\gamma_i^{a_i}) = 0$$

- (iii) if  $P \in C_i \cap C_j$  and if  $\partial_P(\gamma_i^{a_i}) = -\partial_P(\gamma_j^{a_j}) \neq 0$ , one has that the base change  $X_{K_P}$  is birational to SB.

The end

THANK YOU!!!